


AP7 Rec'd PCT/PTO 07 FEB 2006  
Attorney Docket No. 03P11857  
U.S. Application No. 10/567490

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| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371   |  | Attorney Docket No.<br>03P11857<br>U.S. Application No.<br>10/567490 |
| INTERNATIONAL APPLN. NO.<br>PCT/DE2004/001710  | INTERNATIONAL FILING DATE<br>July 30, 2004 | PRIORITY DATE CLAIMED<br>August 7, 2003                              |
| TITLE OF INVENTION: LAMP WITH SINGLE-SIDED SOCKET  |  |  |
| APPLICANT(S) FOR DO/EO/US: Joachim ARNDT, Uwe FIDLER, Jürgen GRÄF, Markus HERB, Anton SCHLÖGL, Jürgen WALDMANN and Bernhard ZÖLLNER  |  |  |
| Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:  |  |  |
| <ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)).<br/>The submission must include items (5), (6), (9) and (21) indicated below.</li><li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> has been communicated by the International Bureau. See attached PCT/IB/308.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto.</li><li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li></ol></li><li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired.</li><li>d. <input type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li><li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))</li><li>10. <input type="checkbox"/> A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv). )</li><li>11. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol> |  |  |
| Items 12 to 20 below concern document(s) or information included:  |  |  |
| <ol style="list-style-type: none"><li>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) w/PTO-1449 - <input type="checkbox"/> Copy of IDS citations.</li><li>13. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s)).</li><li>14. <input type="checkbox"/> A preliminary amendment.</li><li>15. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76.</li><li>16. <input checked="" type="checkbox"/> Itemized Return Receipt Postcard</li><li>17. <input type="checkbox"/> A substitute specification.</li><li>18. <input type="checkbox"/> Power of Attorney and Statement under 37 CFR §3.73(b)<ol style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed Power of Attorney</li><li>b. <input type="checkbox"/> A change of Power of Attorney and/or change of address letter.</li></ol></li><li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li><li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li><li>21. <input type="checkbox"/> A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).</li><li>22. <input checked="" type="checkbox"/> Other items or information: <u>International Search Report (PCT/ISA/210), Abstract</u></li></ol>   |  |  |

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| U.S. APPLICATION NO.<br><b>10/567490</b>  |  | INTERNATIONAL APPLN. NO.<br>PCT/DE2004/001710                 |  | ATTORNEY DOCKET NO.<br>03P11857     |                   |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.<br><input checked="" type="checkbox"/> The following fees are submitted:  |  |   |  | <b>CALCULATIONS</b><br>PTO USE ONLY |                   |
| PCT FEES - NATIONAL STAGE   |  |   |  |                                     |                   |
| <u>Fee Description</u>  |  |   |  |                                     |                   |
| Basic National Stage Fee  |  |   |  | \$300.00                            |                   |
| Non ISA/US Search Provided National Stage Search Fee  |  |   |  | \$400.00                            |                   |
| <input type="checkbox"/> US was the IPEA And all claims satisfied the provisions of PCT Article 33 (1)-(4) National Stage Examination Fee   |  |   |  | \$200.00                            |                   |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (Fee Code 1617/2617)                       |  |   |  | \$130.00                            |                   |
| <b>SIZE FEE</b><br>Plant size fee each additional 50 sheets in excess of 100<br>Fee Code 1681/2681  |  | <b>Additional Sheets</b><br>- 100 = <u>50</u> = <u>    </u> X |  | Fee From Below<br><b>\$250.00</b>   | \$0.00            |
| <b>CLAIMS</b>   |  | NUMBER FILED  | NUMBER EXTRA   | RATE                                |                   |
| Independent Claims Fee Codes 1614 / 2614  |  | 1 - 3 =   | 0  | x \$200.00                          | \$0.00            |
| Total Claims Fee Codes 1615 / 2615  |  | 17 - 20 =   | 0  | x \$50.00                           | \$0.00            |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616  |  |   |  | + \$360.00                          | \$0.00            |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). Fee Code 1618                  |  |   |  | \$0.00                              |                   |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021) \$40.00 per property  |  |   |  | +                                   | \$0.00            |
| <b>TOTAL FEES ENCLOSED</b>  |  |   |  | <b>=</b>                            | <b>\$1,030.00</b> |
|   |  |   |  | Amount to be refunded:              | \$                |
|   |  |   |  | Charged:                            | \$                |
| <input checked="" type="checkbox"/> A check in the amount of <b>\$1,030.00</b> to cover the above fees is attached.   |  |   |  |                                     |                   |
| <input checked="" type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed. |  |   |  |                                     |                   |
| SEND ALL CORRESPONDENCE TO:<br>OSRAM SYLVANIA<br>100 Endicot Street<br>Danvers, MA 01923<br>Telephone (703) 521-2297  |  |   | <br>SIGNATURE |                                     |                   |
| Customer No. <b>24,252</b>  |  |   | Eric Jensen, Reg. No. 37,855<br>NAME, REGISTRATION NUMBER  |                                     |                   |
| EJ/ia   |  |   | February 7, 2006<br>DATE   |                                     |                   |